
No.: 11/SWC/CV-HDQT

Ho Chi Minh City, March 25th, 2026

**INFORMATION DISCLOSURE ON THE WEB PORTAL OF
STATE SECURITIES COMMISSION AND HANOI STOCK EXCHANGE**

*(can be used to simultaneously disclose information
to the State Securities Commission and the Stock Exchange)*

**To: - State Securities Commission
- Hanoi Stock Exchange**

1. Company: Southern Waterborne Transport Corporation
- Stock Code: SWC
- Head Office: 298 Huynh Tan Phat, Tan Thuan Ward, Ho Chi Minh City
- Tel: (028) 38729 748 Fax: (028) 38726 386
- Email: camry.tu@sotransgroup.vn

2. Contents of information disclosure:

Based on the list of shareholders entitled to attend and vote at the 2026 Annual General Meeting of Shareholders (AGM), finalized on the record date of March 16, 2026, by the Vietnam Securities Depository and Clearing Corporation (VSDC), the shareholder structure of Southern Waterborne Transport Corporation is as follows:

- Total number of outstanding shares: 67,100,000 shares
- Total number of shareholders: 701 shareholders
- Major shareholders: 2 shareholders, holding 62,625,000 shares, equivalent to 93.331% of the total voting shares
- Remaining shareholders (non-major shareholders): 699 shareholders, holding 4,475,000 shares, equivalent to 6.669% of the total voting shares

Pursuant to Point (a), Clause 1, Article 32 of the Law on Securities No. 54/2019/QH14, as amended and supplemented by Point (a), Clause 11, Article 1 of Law No. 56/2024/QH15, Southern Waterborne Transport Corporation does not satisfy the shareholder structure requirement, namely: *“at least 10% of the voting shares must be held by at least 100 investors who are not major shareholders.”*

In compliance with the requirement of the State Securities Commission of Vietnam (SSC) to review the conditions for public company status, we hereby formally notify the SSC and the Stock Exchange that: **“Southern Waterborne Transport Corporation currently does not meet the conditions to qualify as a public company.”**

We undertake to continue fully complying with all regulations applicable to public companies (including information disclosure and corporate governance obligations) until an official notification is issued by the SSC regarding the revocation of public

company status in accordance with Clause 3, Article 38 of the prevailing Law on Securities.

3. This information has been published on the Company's official website on 25/03/2026 at the following link <https://sowatco.com.vn/category/co-dong/thong-tin-co-dong/>

We hereby commit that the information published above is true and take full responsibility before the law for the content of the published information.

**p SOUTHERN WATERBORNE
TRANSPORT CORPPORATION
LEGAL REPRESENTATIVE
GENERAL DIRECTOR**

Recipients:

- As above;
- Archived: BOD office.



A red circular stamp with the text "M.S.D.N: 0300447173-C.T.C.P.", "TỔNG CÔNG TY", "CỔ PHẦN", "ĐƯỜNG SÔNG", "MIỀN NAM", and "QUẬN 7 - T.P HỒ CHÍ MINH". A blue ink signature is written over the stamp.

DANG VU THANH